


- 
- About 50 pages
  - Corrective and preventive actions
  - Immediate Action Notices
  - Conditions attached to registration
  - Removal from the register
  - Summary also available



*Available on the Early Years Website*

# Approach adopted

## Aim:

*to carry out a quantitative and qualitative analysis of the regulatory enforcement process over a two-year period from January 2018 to December 2019.*

## Data:

- Early Years Inspection reports, in 2018 (1,557 reports) and 2019 (1,389 reports) for Immediate Action Notices and Conditions attached to services.*
- A random sample of 500 noncompliant regulations for the analysis of corrective and preventative actions*
- Data linkage with the EYI register to enable analysis by additional areas e.g. status of the service, number of personnel etc.*

# Regulatory enforcement process



# Corrective and preventive actions

85.8% of noncompliant regulations resulted in verified improvements in the service and a further 3.6% of improvements would be verified at the next inspection

11% of regulations remained noncompliant at the end of the CAPA process suggesting that insufficient improvements had been made.

Improvements to the service as a result of this process were identified in respect of:

- ✓ The governance of the service,
- ✓ The health, welfare and development of the child,
- ✓ Safety,
- ✓ Premises and facilities.



# Immediate action notices

197 immediate action notices in respect of regulations assessed in 2018 reports and 110 in 2019



Most likely to be identified in reports of inspections in:

Full daycare services:  
73.6% of notices issued in 2018 and 56.4% in 2019 (Full daycare accounted for 35% of services in 2018)

DML region: 31% in 2018 and 32.7% in 2019 (DML accounted for 28% of services in 2018)

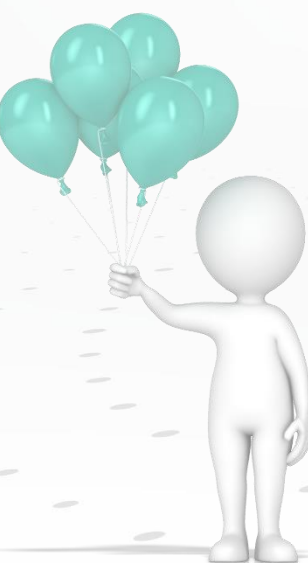
Services with 0 to 5 employees:  
accounted for about half of all immediate action notices issued (Accounted for 66.4% of services in 2018)

Private services : 78.6% in 2018 and 82.5% in 2019 (accounted for 76% of services in 2018)





# Immediate action notices

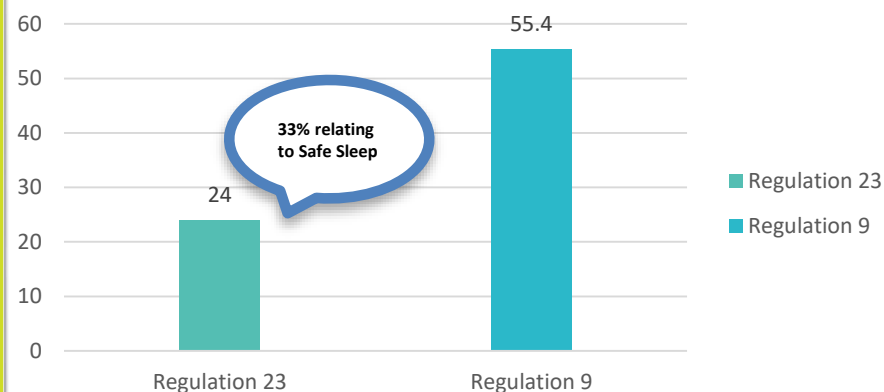


*Services where immediate action notices are issued are more likely to be noncompliant.*

*The mean average number of noncompliant regulations in these reports was 5.14 compared with about 1.8 found in the overall analysis of reports.*

*79% of IANs relate to Regulation 9 (Management and recruitment) and Regulation 23 (Safeguarding health, safety and welfare of the child)*

Percentage of Total IANs



# Conditions attached to the service

*Conditions were attached to 206 services (13.2%) in 2018 140 services (10%) in the 2019 analysis*

*Reports of services with conditions attached have, on average, a higher number of noncompliant regulations (4.29 in 2018 and 2019) compared with reports of services where a condition is not attached.*

*The regulations most likely to be identified are Regulation 9 (87 in 2018 and 49 in 2019) and Regulation 23 (64 in 2018 and 34 in 2019)*



# Removal from the register

